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BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

KRISTIN K. MAYES, Chairman
GARY PIERCE
PAUL NEWMAN
SANDRA D. KENNEDY
BOB STUMP

In the matter of

CITIGROUP GLOBAL MARKETS, INC.
388 Greenwich Street
New York, NY 10013

Respondent.

DOCKET NO. S-03538A-09-0096

FILING TO OPEN DOCKET

The Securities Division of the Arizona Corporation Commission requests that a Docket be opened for the purpose of assigning a docket number to a proposed Order to Cease and Desist, Order for Administrative Penalties, and Consent to Same by the above-referenced respondent. Respondent's mailing address is included in the above caption.

Dated this 2nd day of March 2009.

ARIZONA CORPORATION COMMISSION

By

Cheryl T. Farson
Attorney for the Securities Division of the
Arizona Corporation Commission

Arizona Corporation Commission
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